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I certify that this document is being deposited with the U.S. Postal Service as First Class Mail der 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT** 

Applicant(s):

David S. Bonalle

Docket No.:

60655.9800

Serial No.:

10/708,835

**Group Art Unit:** 

TBA

Filed:

March 26, 2004

Examiner:

TBA

Title:

METHOD AND SYSTEM

Confirmation No.:

2834

FOR IRIS SCAN

RECOGNITION

**BIOMETRICS ON A FOB** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents PO Box 1450 Alexandria, VA 22313-1450

## Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R., §§1.97 and 1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO/SB/08A. One copy of each cited document is submitted herewith. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO/SB/08A and return a copy thereof to the undersigned.

The items listed on Form PTO/SB/08A may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

Serial No.: 10/708,835 Docket No.: 60655.9800

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

1. [ ]	For each of the following items listed on the enclosed copy of Form PTO/SB/08A
	that is not in the English language, an English language translation of that item or
	a portion thereof or a concise explanation of the relevance of that item is
	enclosed:

- 2. [ X ]Any copy of the items listed on the enclosed copy of Form PTO/SB/08A that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior [ ] Parent Application, [ ] Continuation, [ ] Divisional or [ X ] Continuation-In-Part application under 37 C.F.R. §1.97, U.S. Serial No. 10/192,488, filed July 9, 2002.
- 3. [X] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
  - [X] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
  - [ ] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application.
  - [ ] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.
- 4. [ ] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 3 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below.

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Serial No.: 10/708,835 Docket No.: 60655.9800

5. [ ]	since specif	A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 3 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):						
	[]	A check in the amount of \$180.00 is enclosed in payment of the fee.						
	[]	Charge the fee to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.						
6. [ ]	since of a fir	is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date hal action or a notice of allowance, whichever comes first, but before ent of the issue fee, and is accompanied by:						
	a.	one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below; and						
	b.	the attached petition requesting consideration of this Information Disclosure Statement; and						
	C.	the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 9 below.						
7. [ ]		is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement it is being filed in compliance with:						
	a. []	37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;						
	b. [ ]	37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.						
	C.	The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 9 below.						
8. []	Disclo	by certify that each item of information contained in this Information sure Statement was cited in a communication from a foreign patent office cunterpart foreign application not more than three months prior to the filing Information Disclosure Statement.						
[]		by certify that no item of information in the Information Disclosure ment filed herewith was cited in a communication from a foreign patent						

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Serial No.: 10/708,835 Docket No.: 60655.9800

office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

- 9. [ ] A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(p).
  - [ ] Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
  - [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Snell & Wilmer

Dated:

1497463.1

Howard I Sobelman

Registration No. 39,038

Snell & Wilmer L.L.P. One Arizona Center 400 E. Van Buren

Phoenix, Arizona 85004-2202

Phone: (602) 382-6228 Fax: (602) 382-6070

Email: hsobelman@swlaw.com

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Substitute for form 1449A/PTO

INFORMATION DISCLOSUF

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known						
Application Number	10/708,835					
	March 26, 2004					
	David Bonalle					
Art Unit	ТВD					
Examiner Name	ФBD					
Attorney Docket Number	60655.9800					

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Document Number  Number Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Cite No. <sup>1</sup>	Office	<sup>3</sup> Number⁴	Kind <sup>s</sup> (if known)		Applicant of Cited Document	Passages or Relevant Figures Appear	Le
	X	CH	СН689070		08/31/1998	Peter Bresch		
	ماد	EP	0 358 525	A2	03/14/1990	W&T Avery Limited		
	¥	EP	0 424 726	A1	10/10/1990	Angewandte Digital Elec.		
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	X	EP	EP 0 933 717	A2	08/04/1999	Citicorp Development Center		
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	¥	- EP	EP 0 959 440	A2	11/24/1999	Citicorp Development Center		
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	X	JP	2000015288	A	01/18/2000	Hitachi Plant Eng & Constr		
	X	JР	2000-40181	A	02/08/2000	Unknown		

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Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T6
·	X	PCT	WO 00/10144	A1	02/24/2000	3M Innovative Properties Co		
	X	JP	200067312	Α	03/03/2000	IBM		
·	X	EP	EP 0 984 404	A2	03/08/2000	IBM		<u> </u>
	X	PCT	WO 00/38088	A1	06/29/2000	John Klayh		
	`~X	EP	EP 1 016 947	A2	07/05/2000	Texas Instruments Inc.		
	+	JР	2000207641	Α	07/28/2000	NCR International Inc		1
	+	EP	EP 1 039 403	A2 ·	09/27/2000	Citicorp Development Center		
	X	JP	2001-5931	A	01/12/2001	Unknown		
		PCT	WO 01/04825	<b>A</b> 1	01/18/2001	Intermec IP Corp.		1
	+	PCT	WO 01/15098	A1	03/01/2001	Gamut Interactive		1

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PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449A/PTO 10/708,835 **Application Number INFORMATION DISCLOSURE** Filing Date March 26, 2004 STATEMENT BY APPLICANT First Named Inventor Davia Bonalle **Art Unit** TBD (use as many sheets as necessary) **Examiner Name** TBD 60655.9800 of **Attorney Docket Number** Sheet

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Document Number  Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Initials*	No.1	Office	Number <sup>4</sup>	Kind <sup>s</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Т6
	X_	PCT	CT WO 01/15098 A1 03/01/2001 Gamut Interactive	Gamut Interactive				
	*	EP	EP 1 104 909	A2	06/06/2001	Smartel S.P.A.		
	7	PCT	WO 01/43095	A2	06/14/2001	Intermec IP Corp.		
	大	EP	EP 1 113 387	A2	07/04/2001	Schlumberger Systemes		
	×	PCT	Wo 01/72224	A1	10/04/2001	Wolf Fernlund	·	
	7	JP	2001283122	Α	10/12/2001	Dainippon Printing Co., Ltd.		
	大	PCT	WO 01/77856	A1	10/18/2001	Brightstreet.com Inc.		
	4	PCT	WO 01/80473	A2	10/25/2001	Sony Electronics, Inc.		
	X	PCT	WO 01/86535	A1	11/15/2001	Cadmus Technology Ltd.		
	+	PCT	WO 01/90962	Al	11/29/2001	Grosvenor Leisure Inc.		

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			U.S. PAT	ENT DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	X	PCT	WO 01/95243	A2	12/13/2001	Battelle Memorial Institute		
	t	PCT	WO 02/01485	A1	01/03/2002	Smartclic Corporation		
	1	PCT	WO 02/13134	A2	02/14/2002	Medmicrochip LC		
	<u>.</u>	PCT	WO 02/21903	A1	03/21/2002	Mario Witte		
	4	EP	EP 1 199 684	A2	04/24/2002	Marconi Commerce Systems		
	X	PCT	WO 02/063545	A2	08/15/2002	Sensormatic Electronics Corp		
	X	PCT	WO 02/065246	A2	08/22/2002	Stockback Holdings, Inc.		
	X	PCT	WO 02/065404	A2	08/22/2002	Stuart Williams		
	*	PCT	WO 02/069221	A1	09/06/2002	Frequency Marketing, Inc.		
	X	PCT	WO 02/073512	A1	09/19/2002	3M Innovative Properties Co.		

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. The Interest of the Teign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Subst	itute for form 1449A/	PTO		Complete if Known			
INIC		AL DI	SCLOSURE	Application Number	10/708,835		
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	X	EP	EP 1 251 450	A1	10/23/2002	NCR International Inc.		
	土	PCT	WO 02/086665	A2	10/31/2002	United States Postal Service		
	1/2	PCT	WO 02/091281	A2	11/14/2002	Outsite Networks Inc.		
	<u> </u>	PCT	WO 02/097575	A2	12/05/2002	American Express TRS Co.		<del>                                     </del>
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considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Sheet	6	of	11	Attorney Docket Number	60655.9800		

		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	_
Examiner Initials	Cite No. <sup>1</sup>	Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"What's New: Timex Watch Features Speedpass System", http://www.speedpass.com/news/article.jsp?id=51 (1 page)	
		"Physical Reality: A Second Look", Ken Sharp, Senior Technical Editor, http://www.idsystems.com/reader/1999_03/phys0399_pt2/phys0399_pt2.htm (6 pages)	
		"Magic Wands' to Speed Mobile Sales", BobBrewin, Jan. 15, 2001, http://www.computerworld.com/mobiletopics/mobile/story/1,10801,563300.html (4 pages)	
		"Mobile Speedpass Goes GLobal as Mobil Singpaore Rolls Out Asia's First RFID-Based Pay-At-The-Pump System", Press Release, April 5, 1999, http://www.ti.com/tiris/docs/news_releases/rel12.htm (3 pages)	
		"Speedpass Unleashed", June 4, 2002, http://www.cardweb.com/cardtrak/news/cf2_20a_97.html (2 pages)	
		Prophecy Central Update #9, October 10, 1997, http://www.bible-prophecy.com/pcu9.htm (5 pages)	
		International newsletter of the TI RFID Group, Issue 20, 2000 (12 pages)	
		"CES: Microsoft's SPOT Technology has Humble Origins", by James Niccolai, January 10, 2003, http://archive.inforworld.com/articles/hn/xml/03/01/10/030110hnsport.xml?s=IDGNS (3 pages)	
		"Microsoft: See SPOT Run On Your Wrist", by Richard Shim, June 5, 2003, http://news.com.com/2100-1041_3-1013442.html?tag=fd_top (1 page)	
		"Networking: Microsoft SPOT", by Jeremy A. Kaplan, July 1, 2003, http://www.pcmag.com/print_article/0,3048,a=43561,00.asp (2 pages)	
		"Microsoft Launches Smart Personal object Technology Initiative", Press Release from COMDEX Fall 2002, November 17, 2002, http://www.Microsoft.com/presspass/features/2002/nov02/11-17SPOT.asp (4 pages)	

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Substitu	te for form 1449B	B/PTO		Complete if Known		
INIT				Application Number	10/708,835	<del></del>
INFORMATION DISCLOSURE				Filing Date	March 26, 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	David Bonalle	•
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		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	Ţ
Examiner nitials*	Cite No. <sup>1</sup>	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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		"Multiple Frequency Transponders: "Volume production of dual-band RFID chips begins", Frontline Solutions, 07/16/2003	

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Sheet	8	of	11	Attorney Docket Number	60655.9800		

	, — ·	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	<del></del>
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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INFORMATION DISCLOSURE	Filing Date	March 26, 2004	
STATEMENT BY APPLICANT	First Named Inventor	David Bonalle	
	Art Unit	TBD	
(use as many sheets as necessary)	Examiner Name	TBD	
Sheet 9 of 11	Attorney Docket Number	60655.9800	

	1 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	
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Substitu	te for form 1449B/	PTO		Complete if Known			
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	<u> </u>	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	<del></del> -						
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STATEMENT BY APPLICANT				First Named Inventor	David Bonalle		
				Art Unit	TBD		
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		"Smart Card Developer's Kit: Some Basic Standards for Smart Cards", http://docs/smart-card-developer-kit/ch03/033-035.html, 2/9/04, 2 pages						
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